

RECEIVED

MAY 08 2002

TECH CENTER 1600/2900 Delivery: BY HAND

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Serial No.: 09/750,972

Group Art Unit: 1645

Filed: December 28, 2000

Examiner: Christopher H. Yaen

For: ALPHA (2) MACROGLOBULIN AS A HEAT SHOCK PROTEIN RECEPTOR AND USES THEREOF Attorney Docket No.: 8449-134

#14
JM
5/16/02

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicant respectfully direct the Examiner's attention to the references DM-EO listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references DM-EO are submitted herewith.

While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Applicant particularly directs the Examiner's attention to references DM, DO, DR, DT, DU, DV, DW, DX, DY, EG, and EK-EO.

Pursuant to 37 C.F.R. § 1.98 (3) (ii), all translated portions of PCT publication No. WO 98/46739, labeled reference DT on the revised 1449 form, currently within the possession of any individuals designated in C.F.R. § 1.56(c), are provided herewith attached to the Japanese copy of the patent.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-

1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "Supplemental List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Date: May 7, 2002

Respectfully submitted,

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036-2711
(212) 790-9090

Enclosure

by Eileen Falvey 46,097